



11-25-02

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

| | |
|----------------------|----------------------|
| Application Number | 09/438,255 |
| Filing Date | November 8, 1999 |
| First Named Inventor | Christopher J. DANEK |
| Group Art Unit | 3739 |
| Examiner Name | D. Shay |
| Attorney Docket No. | 435712000921 |

| | |
|--|-------------------------|
| Total Number Of Pages In This Submission | 24 pages + 2 references |
|--|-------------------------|

ENCLOSURES (check all that apply)

| | | |
|--|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form + duplicate copy for fee processing - 2 pages | <input type="checkbox"/> Assignment Papers (for an Application) | <input type="checkbox"/> After Allowance Communication to Group |
| <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input checked="" type="checkbox"/> Amendment / Reply (including copy of form PTO-1449 filed August 22, 2001) - 15 pages | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declarations | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Status Letter |
| <input checked="" type="checkbox"/> Extension of Time Request - 1 page | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Terminal Disclaimer | 1. Form PTO-1449 + duplicate copy - 2 pages |
| <input checked="" type="checkbox"/> Information Disclosure Statement (Supplemental) - 3 pages | <input type="checkbox"/> Request for Refund | 2. Two (2) references |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | <input type="checkbox"/> CD, Number of CD(s) _____ | 3. Return receipt postcard |
| <input type="checkbox"/> Response to Missing Parts/ Incomplete Application | Remarks | |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | | |

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

| | |
|-------------------------|---|
| Firm or Individual Name | Morrison & Foerster LLP, 755 Page Mill Road, Palo Alto, California 94304-1018 |
| Signature | Johney U. Han |
| Date | November 21, 2002 |

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

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I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Tamara Alcaraz

Burden Hours Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.



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FEE TRANSMITTAL FOR FY 2002

TC 3700 MAIL ROOM

Patent fees are subject to annual revision.

Complete if Known

| | |
|----------------------|----------------------|
| Application Number | 09/436,455 |
| Filing Date | November 8, 1999 |
| First Named Inventor | Christopher J. DANEK |
| Examiner Name | D. Shay |
| Group Art Unit | 3739 |
| Attorney Docket No. | 435712000921 |

TOTAL AMOUNT OF PAYMENT

(\$235.00

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 03-1952
Deposit Account Name: Morrison & Foerster LLP

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☒ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit Card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description | Fee Paid |
|----------------|-----------------|----------------|-----------------|------------------------|----------|
| 1001 | 740 | 201 | 370 | Utility filing fee | |
| 1002 | 330 | 206 | 165 | Design filing fee | |
| 1003 | 510 | 207 | 255 | Plant filing fee | |
| 1004 | 740 | 208 | 370 | Reissue filing fee | |
| 1005 | 160 | 214 | 80 | Provisional filing fee | |

SUBTOTAL (1) (\$0.00

2. EXTRA CLAIM FEES

| Total Claims | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|------------|
| 80 | - 81** = 0 | x 9 = \$0 | |
| Independent Claims | 2 | - 4** = 0 | x 42 = \$0 |
| Multiple Dependent | | 140 = \$0 | |

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description |
|----------------|-----------------|----------------|-----------------|---|
| 1202 | 18 | 203 | 9 | Claims in excess of 20 |
| 1201 | 84 | 202 | 42 | Independent claims in excess of 3 |
| 1203 | 280 | 204 | 140 | Multiple dependent claims, if not paid |
| 1204 | 84 | 209 | 42 | **Reissue independent claims over original patent |
| 1205 | 18 | 210 | 9 | **Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$0.00

** or number previously paid, if greater; For reissues, see above.

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description | Fee Paid |
|----------------|-----------------|----------------|-----------------|--|----------|
| 1051 | 130 | 205 | 65 | Surcharge - late filing fee or oath | |
| 1052 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 1053 | 130 | 139 | 130 | Non-English specification | |
| 1812 | 2,520 | 147 | 2,520 | For filing a request for ex parte reexamination | |
| 1804 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | |
| 1805 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | |
| 1251 | 110 | 215 | 55 | Extension for reply within first month | 55 |
| 1252 | 400 | 216 | 200 | Extension for reply within second month | |
| 1253 | 920 | 217 | 460 | Extension for reply within third month | |
| 1254 | 1,440 | 218 | 720 | Extension for reply within fourth month | |
| 1255 | 1,960 | 228 | 980 | Extension for reply within fifth month | |
| 1401 | 320 | 219 | 160 | Notice of Appeal | |
| 1402 | 320 | 220 | 160 | Filing a brief in support of an appeal | |
| 1403 | 280 | 221 | 140 | Request for oral hearing | |
| 1451 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | |
| 1452 | 110 | 240 | 55 | Petition to revive - unavoidable | |
| 1453 | 1,280 | 241 | 640 | Petition to revive - unintentional | |
| 1501 | 1,280 | 242 | 640 | Utility issue fee (or reissue) | |
| 1502 | 460 | 243 | 230 | Design issue fee | |
| 1503 | 620 | 244 | 310 | Plant issue fee | |
| 1460 | 130 | 122 | 130 | Petitions of the Commissioner | |
| 1807 | 50 | 123 | 50 | Petitions related to provisional applications | |
| 1806 | 180 | 126 | 180 | Submission of Information Disclosure Stmt | 180 |
| 8021 | 40 | 581 | 40 | Recording each patent assignment per properties (times number of properties) | |
| 1809 | 740 | 246 | 370 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 1810 | 740 | 249 | 370 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 1801 | 740 | 279 | 370 | Request for Continued Examination (RCE) | |
| 1802 | 900 | 169 | 900 | Request for expedited examination of a design application | |

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$235.00

SUBMITTED BY

Name (Print/Type) Johny U. Han

Registration No. (Attorney/Agent)

45,565

Complete (if applicable)

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Signature

Johny U. Han

Date

November 21, 2002

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